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PRE-APPEAL BRIEF REQUEST FOR REVIEW		Docket Number (Optional)		
		5577-337		
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail	Application Number		Filed	
in an envelope addressed to "Mail Stop AF, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)]	10/042,794		January 9, 2002	
on September 2, 2005	First Named Inventor			
Signature	Jon Antho	Jon Anthony Bell		
	Art Unit Examiner			
Typed or printed name Erin A. Campion	2192		Romano, John J.	
Applicant requests review of the final rejection in the above-identified application. No amendments are being filed with this request.				
This request is being filed with a notice of appeal.				
The review is requested for the reason(s) stated on the attached sheet(s).  Note: No more than five (5) pages may be provided.				
I am the	$\Lambda$			
applicant/inventor.	И		•	
assignee of record of the entire interest.	-	:	Signature	
See 37 CFR 3.71. Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)	Elizat	peth A. Stanek Typed	or printed name	
attorney or agent of record.  Registration number 48,568	(919)	854-1400		
attorney or agent acting under 37 CFR 1.34.	Sent	reiep ember 2, 2005	hone number	
Registration number if acting under 37 CFR 1.34	<u></u>	5DO. 2, 2000	Date	
NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required.  Submit multiple forms if more than one signature is required, see below*.				

This collection of information is required by 35 U.S.C. 132. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11, 1.14 and 41.6. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mall Stop AF, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



Attorney's Docket 1-337/RSW920010175US1

**PATENT** 

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Bell *et al.*Serial No.: 10/042,794
Filed: January 9, 2002

Group Art Unit: 2192 Examiner: John J. Romano

Confirmation No.: 5106

For: EFFICIENT CONFIGURATION DATA MIGRATION TECHNIQUE

Date: September 2, 2005

Mail Stop AF Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Certificate of Mailing under 37 CFR § 1.8

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22313-1450 on September 2, 2005.

Erin A. Campion

## REASONS IN SUPPORT OF APPLICANTS' PRE-APPEAL BRIEF REQUEST FOR REVIEW

Sir:

This document is submitted in support of the Pre-Appeal Brief Request for Review filed concurrently with a Notice of Appeal in compliance with 37 C.F.R. 41.31 and with the rules set out in the OG of July 12, 2005 for the New Appeal Brief Conference Pilot Program.

No fee or extension of time is believed due for this request. However, if any fee or extension of time for this request is required, Applicants request that this be considered a petition therefor. The Commissioner is hereby authorized to charge any additional fee, which may be required, or credit any refund, to our Deposit Account No. 09-0461.

## **REMARKS**

Applicants hereby request a Pre-Appeal Brief Review (hereinafter "Request") of the claims finally rejected in the Final Office Action mailed June 2, 2005 and the Advisory Action mailed August 25, 2005. The Request is provided herewith in accordance with the rules set out in the OG dated July 12, 2005.

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Claims 1-3, 7-11, 14-16, 18-20, 24-26 and 28 are rejected under 35 U.S.C. § 102(e) as being anticipated by United States Patent No. 6,728,877 to Mackin *et al.* (hereinafter "Mackin"). *See* Final Office Action, page 7. Claims 12, 13 and 17 stand rejected under 35 U.S.C. § 103(a) as being unpatentable over Mackin in view of United States Patent No. 5,969,704 to Green *et al. See* Final Office Action, page 12. Claims 4, 6, 21, 22 and 27 stand rejected under 35 U.S.C. § 103(a) as being unpatentable over Mackin in view of United States Patent No. 6,757,720 to Weschler. *See* Final Office Action, page 13. Claims 5 and 23 stand rejected as being unpatentable over Mackin in view of Weschler and in further view of obviousness. *See* Final Office Action, page 15. Applicants respectfully submit many of the recitations of the pending claims are not met by the cited references for at least the reasons discussed herein and in Applicants' previously filed Amendments of March 17, 2005 and August 2, 2005. Therefore, Applicants respectfully request review of the present application by an appeal conference prior to the filing of an appeal brief. In the interest of brevity and without waiving the right to argue additional grounds should this Petition be denied, Applicants will only discuss the recitations of the independent claims that are not met by Mackin.

Since the Amendments made in Applicant's Amendment After Final were not entered, Claim 1 recites:

A method of migrating configuration data from a first executable product to a second executable product, the method comprising:

instructing, <u>from an external agent</u>, the first executable product to provide a file containing selected configuration data; and

producing, by the first executable product, the file containing the selected configuration data in a format acceptable to the second executable product.

Independent Claims 10, 18 and 19 contain similar recitations to the highlighted recitations. Applicants submit that at least the highlighted recitations of Claim 1 are not met by the cited references.

The Final Office Action states that Mackin teaches the highlighted recitation at column 8, lines 9-12. The cited portion of Mackin states:

An OLE or ActiveX control is an object that accepts and responds to events, such a selection by a mouse or a key on a keyboard, or a selection by another object-oriented member function.

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See Mackin, column 8, lines 9-12. Mackin may use OLE to implement some portion of the system discussed in Mackin. In other words, the system discussed in Mackin may interact with a user interface, for example, a keyboard or mouse. In contrast, Claim 1 recites "instructing, from an external agent, the first executable product to provide a file containing selected configuration data." Nothing in Mackin discloses or suggests that the instruction to create the file comes from an external agent. In fact, Mackin specifically recites throughout the specification that the system "automatically" transitions configuration settings from a source (old) computing system to a target (new) computing system. See e.g., Mackin, column 4, lines 15-17: see also, Mackin, Title; Abstract; column 2, lines 46-55; and column 7, lines 12-14. Thus, Mackin specifically teaches away from waiting for an instruction from an external agent before creating the file containing the configuration data as recited in Amended Claim 1.

Accordingly, Applicants submit that the recitation of "instructing, from an external agent, the first executable product to provide a file containing selected configuration data;" recited in Claim 1 of the present invention is not met by the cited references for at least these reasons. Furthermore, the corresponding recitations of Claim 10, 18 and 19 are also not met for at least the reasons discussed above with respect to Claim 1. Therefore, Applicants respectfully request that the present application be reviewed and reversed by the appeal conference prior to the filing of an appeal brief.

Respectfully submitted,

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